

UNITED STATES DISTRICT COURT  
NORTHERN DISTRICT OF CALIFORNIA  
SAN FRANCISCO DIVISION

U.S. COMMODITY FUTURES TRADING )  
COMMISSION et al., )

Plaintiffs,

vs.

NATIONAL INVESTMENT  
CONSULTANTS, INC., et al.,  
Defendants,

and

THERESA C. WONG, an individual,  
Relief Defendant.

) **STIPULATION TO PROPOSED**  
) **CONSENT ORDER OF PERMANENT**  
) **INJUNCTION AND**  
) **OTHER EQUITABLE RELIEF AGAINST**  
) **DEFENDANTS PACIFIC BEST**  
) **GROUP LTD, NATIONAL INVESTMENT**  
) **CONSULTANTS, INC, WEI MAN TSE,**  
) **RUN PING ZHOU, YI KERRY XU AND**  
) **RELIEF DEFENDANT THERESA WONG**  
) **(PROPOSED ORDER)**

) Case No.: 05-cv-02641-JSW

) Judge: The Hon. Jeffrey S. White

It is hereby agreed that the following modifications are made to the PROPOSED  
CONSENT ORDER OF PERMANENT INJUNCTION AND OTHER EQUITABLE RELIEF  
AGAINST DEFENDANTS PACIFIC BEST GROUP LTD, NATIONAL INVESTMENT  
CONSULTANTS, INC, WEI MAN TSE, RUN PING ZHOU, YI KERRY XU AND RELIEF  
DEFENDANT THERESA WONG:

Page 14 line 3: Change "Pacific Best: \$120,000" to "Pacific Best: \$100,000";

Page 14 line 4: Change "NICI: \$120,000" to "NICI: \$100,000";

Page 14 line 5: Change "Raymond Tse: \$100,000" to "Raymond Tse: \$82,000";

Page 14 line 6: Change "Flora Zhou: \$78,500" to "Flora Zhou: \$65,500";

Page 14 line 10: Change "Pacific Best: \$40,000" to "Pacific Best: \$20,000";

Page 14 line 11: Change "NICI: \$30,000" to "NICI: \$20,000";

Page 14 line 12: Change "Raymond Tse: \$29,250" to "Raymond Tse: \$18,000";

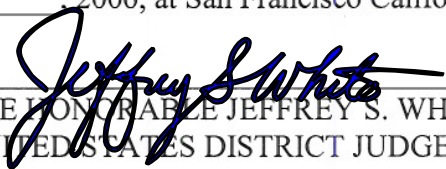
Page 14 line 13: Change "Flora Zhou: \$29,000" to "Flora Zhou: \$13,000";

Page 14 lines 14 and 15: Delete "Defendants' State of California Civil Monetary Penalty shall be offset by any Civil Monetary Penalty payment made to the Commission";

Page 15 line 6: Add at end of sentence "and the Department of Corporations for the State of California as set forth above." ;

Page 24 lines 20 and 21: Change "COMMODITIES" to "COMMODITY".

**SO ORDERED**, this 1st day of September, 2006, at San Francisco California.

  
THE HONORABLE JEFFREY S. WHITE  
UNITED STATES DISTRICT JUDGE

Consented to and approved for entry by:

PLAINTIFFS:

COMMODITY FUTURES TRADING COMMISSION

\_\_\_\_\_  
By: Kevin K. Batteh  
Gretchen L. Lowe  
Attorneys for Commodity Futures Trading Commission  
1155 21<sup>st</sup> Street, NW  
Washington, DC 20581

COMMISSIONER OF CORPORATIONS  
STATE OF CALIFORNIA

\_\_\_\_\_  
By: Preston DuFauchard  
California Corporations Commissioner  
Alan S. Weinger  
Acting Deputy Commissioner  
Edward Kelly Shinnick  
Corporations Counsel  
71 Stevenson Street  
San Francisco, CA 94105-2908

DEFENDANTS:

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Edward Gartenberg.  
Thelen Reid & Priest LLP  
Attorneys for Defendants Pacific Best  
Company, Ltd, National Investment  
Consultants, Inc., Raymond Tse, and  
Relief Defendant Theresa Wong

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Edward Gartenberg.  
Thelen Reid & Priest LLP  
Attorneys with authority to sign for Defendant  
Pacific Best Company, Ltd,

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John W. Cotton.  
Cotton & Gundzik, LLP  
Attorneys for Yi Kerry Xu

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Fred Koningsberg  
Attorney for Defendant Run Ping Zhou

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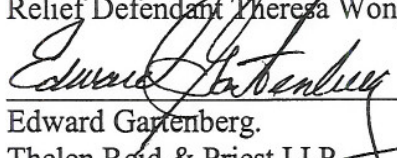
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11 Edward Gartenberg.  
12 Thelen Reid & Priest LLP  
13 Specially Appearing for Defendant  
14 Pacific Best Company, Ltd,

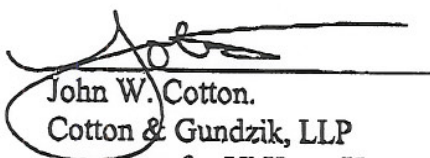
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16 John W. Cotton.  
17 Cotton & Gundzik, LLP  
18 Attorneys for Yi Kerry Xu

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20 Fred Koningsberg  
21 Attorney for Defendant Run Ping Zhou  
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Attorney for Defendant Run Ping Zhou

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